



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas R. Firman Art Unit: 2654

Serial No.: 09/783,725 Examiner: Angela A. Armstrong

Filed : February 14, 2001 Conf. No. : 6557

Title : AUTOMATIC ASSEMBLY OF VOICE CONTROL INFORMATION

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Please consider the references listed on the attached PTO-1449 form.

Copies of United States patent documents will be provided upon request. Copies of all non-U.S. patent documents and other documents are enclosed.

The applicant invites the examiner to consider the position of the examiner and replies by the applicant that have been set forth in the prosecution of the following United States patent applications. The specification of these other applications contains at least some material that is similar to the material set forth in the specification of this application. The applicant assumes that the examiner has direct access to these files, but will be happy to provide copies to the examiner upon request.

#### CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

Signature

Lesley J. Arcidy

Typed or Printed Name of Person Signing Certificate

Applicant: Thomas R. Firman Attorney's Docket No.: 10591-003008

Serial No.: 09/783,725

Filed: February 14, 2001

Page : 2 of 2

Serial No.	Filing Date	Examiner's Name	Status
09/852,049	05/09/2001	David D. Knepper	Pending
10/102,047	03/20/2002	Susan I. McFadden	Pending

With this Information Disclosure Statement, the applicant submits a Substitute Form PTO-1449 listing certain documents filed in or produced in connection with *Articulate Systems*, *Inc. v. Apple Computer*, *Inc.*, Civ. No. 96-10345-RGS (D. Mass.), litigation U.S. Patent No. 5,377,303, from which the present application claims priority. These documents are being delivered to the patent office in six separate boxes. Other documents associated with this litigation are subject to a protective order governing their disclosure.

The applicant notes that it has not received an initialed copy of the enclosed PTO Forms1449 that accompanied information disclosure statements filed March 22, 2002 and October 9, 2002. Thus, we respectfully request that the examiner initial and return these forms as soon as possible.

This statement is being filed after a first office action on the merits, but before receipt of a final office action or a Notice of Allowance. Please apply any charges or credits to deposit account no. 06-1050, reference 10591-003008.

Respectfully submitted,

Date:	12126106	Clem Chan	
		Thomas A. Brown	

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Fish & Richardson P.C.

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U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 10591-003008	Application No. 09/783,725	
Ke 1	closure Statement	Applicant Thomas R. Firman		
الله (37 CFR §1.966))	eets if necessary)	Filing Date February 14, 2001	Group Art Unit 2654	

Dear	U.S. Patent Documents						
Examiner Initial	Desig.	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriat e
	AA	5,157,384	10/1992	Greanais			
	AB	5,027,406	06/1991	Roberts			
	AC	6,684,188	01/2004	Mitchell et al.			

## Other Documents (include Author, Title, Date, and Place of Publication)

Ex.	BATESINO TE	BATES NO.**	AUTHOR(S)	* DOC: DATE	DOCUMENTIDESCRIPTION
	A 000110	A 000110	Skenyon, John	1996-02-22	Letter to V. Randall Gard from John Skenyon re infringement charges
	A 000132	A 000138	N/A	1985	The Official Proceedings of Speech Tech '85 Voice Input/Output Applications Show and Conference April 22-24, 1985 New York City Produced by Media Dimensions, Inc. Vol 1, Number 2
	A 000139	A 000144	Kurzweil, Raymond	1985	The Kurzweil Voice Writer <sup>TM</sup> -A Large Voice Activated Word Processor ©Speech Tech '85 pp33-38
	A 000145	A 000148	Goldhor, Richard	1985	"A Large-Capacity Highly-Programmable Speech Recognition System" ©Speech Tech '85 pp123-126
	A 000164	A 000183	N/A	1987	Apple® Human Interface Guidelines: The Apple Desktop Interface. Addisson-Wesley Publishing Company, Inc. ©1987 pp iii-x, 2-3, 11, 13, 16-17, 95, 96, 97
	A 000184	A 000207	N/A	N/A	"The Toolbox Event Manager" chapter 8 from Inside Macintosh
	A 000208	A 000208	Feigenbaum, David	1995-10-30	Letter to Randy Gard from David Feigenbaum enclosing U.S. Patent 5,377,303 file history and cited references
	A 000209	A 000622	Thomas R. Firman	N/A	File history of U.S. 08/165,014
	A 000767	A 000771	Lacoume, J.L., Chehikian, A., Martin, N., Malbos, J. (Editors)	1988	"Signal Processing IV: Theories and Applications" Proceedings of EUSIPCO-88 Fourth European Signal Processing Conference Grenoble, France, September 5-8, 1988 Elsevier Science Publishers B.V. © EURASIP 1988
<u> </u>		<u></u>		<u> </u>	<u> </u>

Examiner Signature	Date Considered	

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)			Application No. 09/783,725	
	closure Statement oplicant	Applicant Thomas R. Firman		
(Use several sheets if necessary)		Filing Date February 14, 2001	Group Art Unit 2654	

(37 CFR §1.98(b))

	BATESING BEGIN	BATESINO (		DOC. DATE	DOCUMENT DESCRIPTION
	A 000772	A 000780	Lange, Holley	1990	"Voice Recognition and Voice Response: A Report of Tomorrow's Technologies" Proceedings of the Eleventh National Online Meeting May 1-3, 1990. pp 233-240
	A 000781	A 000785	Caelen, J., and Vigouroux, N.	1986	"An Acquisition of Research System for An Evolving Nucleus of Acoustic-Phonetic Knowledge" Eighth International conference on Pattern Recognition. Paris, France October 27-31, 1986. pp 896-898
	A 000786	A 000797	Tanaka, Kazuyo, Hayamizu, Satoru, and Ohta, Kozo	1990	"Sorting and Clustering of Acoustic-Phonetic Variations Based on a Fine-Labeled Speech Database with Applications for Automatic Word Recognition" Transactions of the Institute of Electronics, Information and Communication Engineers Series D. Vol. J73-D-II No. 10 October 1990. pp 1619-1629
	A 000818	A 000820	Evans, Dale	1989-03-00	"Talking to the Bug". Microcad News. March 1990. pp58-60
	A 000821	A 000829	Yavelow, Christopher	1986-06-00	"Digital Sampling on the Apple Macintosh" BYTE. June 1986. pp 171-183
	A 000830	A 000832	Head, John	1987-03-02	"Boulder Software Firm Has Affinity of MAC" The Denver Business Journal. Volum 38 No 23 Sec 1 pg 15. Denver Business Time © 1987
	A 001015	A 001024	Calingaert, Peter	N/A	"Assemblers, Compliers, And Program Translation" Initial Source-Language Processing. pp 142-150
	A 0001025	A 001040			Inside Macintosh Volume I. The Toolbox Event Manager. pp 243-256 © 1985
-	A 001063	A 001065	Peterson, James and Silberschatz, Abraham	1985	"Operating System Concepts" Addison- Wesley Publishing Company © 1985. p136
	A 001066	A 001069	Caelen, J. and Vigouroux, N.	1986	"An Acquisition and Research System for an Evolving Nucleus of Acoustic-Phonetic Knowledge". Eighth International conference on Pattern Recognition. Paris, France Octobe 27-31, 1986. pp 896-898
	A 001070	A 001081	Tanaka, Kazuyo, Hayamizu, Satoru, and Ohta, Kozo	1990	"Sorting and Clustering of Acoustic-Phonetic Variations Based on a Fine-Labeled Speech Database with Applications for Automatic Word Recognition" Transactions of the Institute of Electronics, Information and Communication Engineers Series D. Vol. J7: D-II No. 10 October 1990. pp 1619-1629
	A 001082	A 001086	Brandetti, M.,	1988	"Building Reliable Large Speech databases:

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Substitute Form PTO-1449 (Modified)			Application No. 09/783,725
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Thomas R. Firman	
		Filing Date	Group Art Unit
(37 CFR §1.98(b))		February 14, 2001	2654

Ex. Initials	BATES NO BEGIN	BATES NO.	AUTHOR(S)	DOC. DATE	DOCUMENTIDESCRIPTION
		·	D'Orta, P., Ferretti, M., Scarci, S.		Automated Approach" Signal Processing IV: Theories and Applications. ©EURASIP 1988. pp147-150.
	A 001087	A 001091	N/A	1989	Information on SID™ Software The HearHere Toolbox© 1989 by SID Products
	A 001092	A 001105	N/A	N/A	SID-II Assembly and Use Manual
	A 001106	A 001112	Shipman, Ty	1985	"MacRecorder A Speech digitizer for the Macintosh" Fall 1985 BMUG Newsletter pp 51-57
	A 001171	A 001171	N/A	1992-11-09	Preliminary Amendment for Voice Controlled Computer Interface
	A 001172	A 001886	N/A	N/A	Voice Navigator™ Developer's Reference Manual
	A 001887	A 002074	N/A	1993-12-17	Copy of the Appendix of U.S. Patent Application 07/973,435-Voice Controlled Computer Interface
	A 002075	A 002085	Feigenbaum, David	1992-11-09	Information Disclosure Statement for Voice Controlled Computer Interface
	A 002086	A 002092	Feigenbaum, David	1992-09-24	Fax to Examiner Michelle Doerrier from Feigenbaum re request for comments on attached claims
	A 002093	A 002313	N/A	N/A	File history for 07/370,779
	A 002314	A 002750	n/A	N/A	Voice Navigator User's Manual (Appendix E to Application for Voice Controlled Computer Interface)
	A 002751	A 002990	N/A	N/A	Voice Navigator™ Developer's Reference Manual (Appendix D to Application for Voice Controlled Computer Interface)
	A 002991	A 002993	Parfitt, Rick	1993-07-28	Email to Steve Austin, Gerry Beauregard, Yen-Lu Chow, Stuart Davidson, Peter de Souza, Adam Fineberg, Kamil Grajski, Caroline Henton, Hsiao-Wuen Hon, Ted
					Kopulous, Kai-Fu Lee, Schoot Meredith, Shankar Narayan, Roque Otero, Tick Parfitt, Christine Pfeiler, Amy Snodgrass, Bob Strong, Jeff Tuckerwood, Baosheng Yuan re Office Memo Articulate & VPC
	A 003152	A 003154	Schlossareck, Steve	1994-01-10	Letter to Ivan Mimica from Schlossareck re attached letter rewarding Master Software Development Agreement
	A 003159	A 003160	Durlach, Peter	1993-11-16	Email to Gursharan Sidhu, Michael Bayer, Tom Ryan, Tim Schaaff re Office Memo from Ivan Mimica Postponing telecom dev
	A 003161	A 003161	Ryan, Tom	1993-11-22	Letter to Ivan Mimica from Ryan re

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Substitute Form PTO-1449 (Modified)					
by Applicant (Use several sheets if necessary)		Applicant Thomas R. Firman			
		Filing Date February 14, 2001	Group Art Unit 2654		

Ex.	BATES NO.	BATES NO.	AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
					presentation of dictation application
	A 003212	A 003218	Durlach, Peter	1993-04-06	Fax to Tim Schaaff from Durlach re Personal Secretary Brochure
	A 003228	A 003232	De Puy Morgan, Dana	N/A	Letter to Developer from De Puy Morgan re ASI Developer enclosing information
	A 003233	A 003234	N/A	N/A	Voice Navigator Sw & II Ad
	A 003235	A 003235	N/A	N/A	Postcard-Articulate Systems-Is on the Move
	A 003236	A 003239	Ito, Russell	1991-09-00	"Voice Navigator II" MacUser. September 1991
	A 003240	A 003241	N/A	N/A	Voice Impact vs MacRecorder Ad and Information
	A 003242	A 003252	N/A	N/A	Voice Navigator Release
	A 003253	A 003254	N/A	N/A	Voice Navigator SW & II Ad and Information
	A 003255	A 003256	Morgan, Tom	1992-03-26	Fax to Eric Hulteen from Morgan re NDA
	A 003258	A 003258	De Puy Morgan, Dana	N/A	Letter to Articulate Systems Developer from De Puy Morgan re product update
	A 003259	A 003259	N/A	N/A	Articulate Systems Confidential Developer Pricing
	A 003261	A 003261	N/A	1992-03-34	Non-Disclosure Agreement between Articulate and Apple
	A 003262	A 003263	Morgan, Timothy	1992-03-25	Email to Hulteen from Timothy Morgan re Dutchman
	A 003264	A 003265	N/A	1992-03-24	Handwritten Notes
	A 003268	A 003268	Oberholder, Jane	1990-06-13	Email to Evangelism, Bill Goins, Brian Loucks, Wayne Dyer, Karl May, Susan Marsal, Neil Cormia, Michael Bartlow, Tom Ryan, Scott Converse, David Szetela, Jim Reekes, John Worthington, Bruce Leak, George White, Eric Hulteen, Steve Milne, Don
· .					Field, Katie Povejsil, Dan Eilers, Lawrence Lieberman, Horace Enea from Oberholder re Articulate Speaks, Reminder
	A 003269	A 003270	Mattioli, Lisa	1990-07-16	Email to Apple Integrated Systems, AA's of Advanced Technology Group, Advanced Technology Managers, Field Marketing Specialists, AppleLink 5.5 Group, AppleTV Members, CPU Product Marketing, Developer Tools\$, Monroe AA/Administrators, multimedia Group, Special Education from Mattioli re Invite fr: Articulate Sys.7/20
	A 003273	A 003274	N/A	1992-02-27	Email to Eric Hulteen from Lisa@media- lab.media.edu re Voice Nav II Questions/Cables
	A 003275	A 003276	Morgan, Timothy	1992-03-25	Email to Eric Hulteen from Morgan re Dutchman

Examiner Signature	Date Considered
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• • • • • • • • • • • • • • • • • • • •		Application No. 09/783,725	
closure Statement	Applicant Thomas R. Firman		
(Use several sheets if necessary) (37 CFR §1.98(b))		Group Art Unit 2654	
	losure Statement plicant	Patent and Trademark Office  10591-003008  Applicant Thomas R. Firman	Patent and Trademark Office  10591-003008  O9/783,725  Applicant Thomas R. Firman  Filing Date  Group Art Unit

Ex. Initials	BATES NO: BEGIN	BATES NO. END	AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION **
	A 003277	A 003277	Stifelman, Lisa	1992-05-05	Email to Eric Hulteen from Stifelman re Voice Driver for 040's
-	A 003278	A 003278	Hulteen, Eric	1992-05-15	Email to Dana Morgan from Hulteen re VN SW Support API
	A 003279	A 003279	Morgan, Dana	1992-05-18	Email to Eric Hulteen from Morgan re VN SW Support API
	A 003280	A 003281	Hulteen, Eric	1992-05-18	Email to Dana Morgan from Hulteen re VN/SW API, 2
	A 003282	A 003284	N/A	N/A	Voice Navigator SW Press Release
	A 003285	A 003287	N/A	N/A	Voice Navigator II Press Release
	A 003288	A 003290	N/A	N/A	ASI Developer Information Kit
	A 003296	A 003296	Hulteen, Eric	1992-07-15	Email to Timothy Morgan from Hulteen re Articulate visit to Apple
	A 003297	A 003297	Hulteen, Eric	1992-07-16	Email to Andy Soderberg from Hulteen re Articulate Systems
	A 003298	A 003298	Hulteen, Eric	1992-07-24	Email to Dana Morgan from Hulteen re Software-Only VN for PowerBook
	A 003299	A 003299	Hulteen, Eric	1992-07-24	Email to Dana Morgan from Hulteen re Voice Nav. II HW Available
	A 003300	A 003300	Hulteen, Eric	1992-07-24	Email to Dana Morgan from Hulteen re Please send product catalog
	A 003301	A 003301	Hulteen, Eric	1992-07-27	Email to Dana Morgan from Hulteen re Software-Only VN for P
	A 003302	A 003302	Morgan, Dana	1992-07-27	Email to Eric Hulteen from Morgan re Voice Nav. II HW avail
	A 003303	A 003303	Morgan, Dana	1992-09-01	Email to Eric Hulteen from Morgan re Invoice for Voice Nav
	A 003307	A 003308	Durlach, Peter	1994-10-04	Email to Hulteen from Durlach re PowerSecretary Sta
	A 003309	A 003311	Hulteen, Eric	1994-10-06	Email to Durlach from Hulteen re PowerSecretary Status
	A 003312	A 003313	Durlach, Peter	1994-0-11	Email to Eric Hulteen from Durlach re PowerSecretary St
	A 003319	A 003319	Morgan, Timothy	1991-03-02	Email to Canada-SSA Exec Brief Centre et al. from Morgan re Voice Navigator Testing
	A 003320	A 003320	Hulteen, Eric	1992-02-26	Email to Dana Morgan from Hulteen re Voice Nav II Questions
	A 003448	A 003450	Durlach, Peter	1993-11-16	Email to G. Sidhu from Durlach re postponing telecom dev.
	A 003542	A 003544	N/A	1995-09-05	Email to Dick Toepfer from EHA@ARTSYS.com
	A 003553	A 003553	Schaaf, Tim	1993-07-14	Email to Peter Durlach from Tim Schaaf re Tempest unit on the way!
	A 003593	A 003596	N/A	1992	The Macintosh Telephony Architecture & WorldPort © 1992 Apple Computer, Inc.

Examiner Signature	Date Considered
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Substitute Form PTO-1449 (Modified)			Attorney's Docket No. Application No. 10591-003008 09/783,725		
• •	losure Statement	Applicant Thomas R. Firman			
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date February 14, 2001	Group Art Unit 2654		

Ex. Initials	BAHESINO. BEGIN	BATIES NO.	AUTHOR(S)	DOC:DATE	DOCUMENTADESCRIPTION
	A 003597	A 003605	Durlach, Peter	N/A	Memo to Vito Salvaggio, Andy Soderberg, Michael Bayer from Durlach re Articulate Systems <i>Personal Secretary</i> Features & Requirements
	A 003646	A 003676	N/A	1991-12-06	Functional Specification for Voice Control 3.0 revision 0.9
	A 003677	A 003681	N/A	N/A	Proposal for System Software Modifications ASI Control
	A 003729	A 003729	N/A	1988-12-02	Letter to Mike Dilts from Articulate Systems re offer
-	A 003730	A 003734	N/A	N/A	Voice Navigator <sup>™</sup> 200 and Voice Navigator <sup>™</sup> 1000 Overview and Features
	A 003735	A 003736	Jelinek, Frederick	1988-06-01	"The Development and Use of a 20,000 speech-driven word processor" Presented at KBS in Government. Online Publications © 1988 p163
	A 003737	A 003740	Davis, Michael and Pencikowski, Paul	1988	"Reconfigurable Cockpit Development" Northrop Corporation, Aircraft Division. ©1988 IEEE. pp840-843
	A 003741	A 003755	N/A	1988-05- 23/27	National Aerospace Electronics Conference Volume 1 of 4. Table of Contents and Author's Index.
	A 003756	A 003763	Hartley, Craig and Pulliam, Robert, Ph.D.	1986	"Use of Heads-Up Displays Speech Recognition, and Speech Synthesis in Controlling a Remotely Piloted Space Vehicle" ©1986 IEEE pp 338-345
	A 003764	A 003776	Fisher, McGreevy, Humphries, Robinett	1986-10- 23/24	"Virtual Environment Display System". 1986 Workshop on interactive 3D Graphics. Department of Computer Science University of North Carolina, Chapel Hill. pp. 77-87
	A 003777	A 003782	Riley, Christine	1987	"Experiences with an Integrated Voice and Text Message Service" Proceedings of the Human Factors Society-31 <sup>st</sup> Annual Meeting- 1987. pp 270-274
	A 003783	A 003786	Schnabl, Johann, Boissinot, Rodney	1987	"A Voice-Controlled Integrated Communication Workstation" Speech Technology Oct/Nov. 1987 pp 54-57
	A 003787	A 003791	Wanstall, Brian	1987-06-00	"DVI in the Military Cockpit" Interavia. June 1987. pp 655-660.
	A 003792	A 003806	Mitchell, Christine	1987	"Multimodal User Input to Supervisory Control Systems: Voice-Augmented Keyboard" IEE Transactions on Systems, Man and Cybernetics. Vol SMC-17 No. 4 July/August 1987. pp 594-607
	A 003807	A 003817	Stubben, Mark	N/A	"Pilot's Perspective of the Advanced Fighter

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		Filing Date February 14, 2001	Group Art Unit 2654	

Ex. Initials	BATES NO.	BATES NO. END	AUTHOR(S)	DOC. DATE	DOCUMENT/DESCRIPTION
					Technology Integration Automated
					Maneuvering Attack System" Air Force Flight
					Test Center Edwards AFB, California
	A 003818	A 003827	Beckett, P.	1986-04-	"Voice Control of Cockpit Systems" NATO
				15/18	Advisory Group for Aerospace Research and
					Development. Information management and
					Decision Making in Advanced Airborne
					Weapons Systems. Papers presented.
	A 003828	A 003834	Fischer, McGreevy,	1986-10-00	"Virtual Workstation: A Multimodal,
			Humphries, Robinett		Stereoscopic Display Environment" SPIE Vol.
İ					726 Intelligent Robots and Computer Vision.
					pp 517-522
·	A 003835	A 003841	Hewish, Mark	1987	"Tactical Data Displays More Than Meets the
1 .			·		Eye" International Defense Review. March
			,		1987
	A 003842	A 003851	Phillip, George and	1987	"Man-machine interaction by voice:
		1	Young, Elizabeth		Developments in speech technology. Part II:
					General applications, and potential
	,				applications in Libraries and Information
ļ					Services". ©1987 Elsevier Science Publishers
		L			B.V. pp 15-23
	A 003852	A 003856	Fisher, Scott	N/A	"Virtual interface Environment" Aerospace
					Human Factors Research Division. NASA
					Ames Research Center. pp346-350
	A 003857	A 003866	Hartley, Craig and	1988	"Use of Heads-Up Displays, Speech
			Pulliam, Robert		Recognition and Speech Synthesis in
					Controlling a remotely Piloted Space Vehicle"
	1		•		IEEE AES Magazine. July 1988. pp 18-26
	A 003867	A 003872	Moore, Thomas and	1987	"Speech Research at the Armstrong Aerospace
[			McKinley, Richard		Medical Research Laboratory" Speech Tech
ļ	•				'87 pp 156-159
	A 003873	A 003878	Simpson, Carol;	1986	"Integrating Speech Technology to Meet Crew
			Ruth, John; Moore,		Station Design Requirements" ©1986 IEEE
			Carolyn		pp 324-329
	A 003879	A 003884	Forren, M.G. and	1986	"Multi-Modal Interfaces in Supervisory
			Mitchell, C.M.		Control" Proceedings of the human Factors
					Society-30 <sup>th</sup> annual Meeting-1986. pp 317-321
	A 003885	A 003892	Forren, Michelle and	1986	"Voice Input in Real Time Decision Making"
		}	Mitchell, Christine.	1	Proceedings of the 1986 IEEE International
					Conference on Systems, Man and Cybernetics.
					October 14-17, 1986 pp 879-884.
	A 003893	A 003897	Gregg, AD and	1986	"A Modular Multiprocessor Simulation
			Klimpke, CMH		Philosophy". Second International Conference
					of Simulators. September 7-11, 1986.
	A 003898	A 003903	Gibson, CP and	1986	"Effective Control Strategies for the Virtual

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Ex:		BATES NO.	-AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
			Furness, TA		Cockpit" SID 86 Digest. Pp 448-451
	A 003904	A 003908	Reif, P.D.	1986	German Article. "Interactive Measuring Equipment in Vehicles" Technisches Messen ™ pp.138-141
	A 003909	A 003911	Schiffler, Richard and Pinkus, Alan	N/A	"Human Factors Research and Development Requirements for Future Aerospace Cockpit Systems" Aeronautical Systems Division. Wright-Patterson AFB. Pp 883-885
	A 003912	A 003924	Dana, William; Smith, William and Howard, John	1986	"Pilot Vehicle Interface on the Advanced Fighter Technology Integration F-16" ©1986 IEEE pp595-607
	A 003925	A 003929	Ferber, Leon	1986-09-00	"Source Data Entry Alternatives" P&IM Review with APICS September 1986. pp 56- 75
	A 003930	A 003934	Hacker, Michael	1986	"Integrated Voice Data Collection for Hybrid Inspections" Speech Technology. March/April 1986. pp76-80
	A 003935	A 003939	Reising, J.M and Moss, Richard	1986-01-00	"The Symbiotic Cockpit". IEE AES Magazine. January 1986. pp 24-27
	A 003940	A 003942	McMillan, G.J.	1985	"A Voice Interactive Maintenance Aiding Device" ©1985 IEEE pp 768-770
	A 003943	A 003947	Curry, David; Reising, John.; Zenyuh, John.	N/A	"Target Destination by Speech, Joystick and Touch Control. Format Design Implications" Air Force Flight Dynamics Laboratory. Wright-Patterson AFB. pp 923-928.
	A 003948	A 003955	Vidulich, Michael and Wickens, Christopher	1985	"Stimulus-central processing-response compatibility: Guidelines for the optimal use of speech technology". Behavior, Research Methods, Instruments & Computers. pp 243-249.
	A 003956	A 003959	Edwards, Ken	1985-11-00	"Micro-Based Writing Tools for Office Professionals". The Office. November, 1985. pp 130, 135, 142.
·	A 003960	A 003977	Rivard, J.G.	1984-10-00	"Automotive Electronics: Technologies for the '80s". Ford Motor Company, Electrical and Electronics Division. pp 15-120
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	A 003980	A 003983	N/A	1984	Proceedings of the Voice I/O Systems Applications Conference '84. Index.
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Ex:	BATES NO.	BATES'NO.	AUTHOR(S), 5	DOC: DATE	DOCUMENT/DESCRIPTION
пппаіз 3	A 005719	A 005727	Rothfeder, Jeffrey	1986-09-30	"A Few Words About Voice Technology" PC Magazine. September 30, 1986. pp. 191-202
	A 005728	A 005734	N/A	N/A	Patents by Thomas R. Firman-Abstract & Claims
	A 005735	A 005739	Schmandt, Christopher et al	1982	"The Intelligent Voice-Interactive Interface". Proc. Human Factors in Computer Systems. Pp.363-366
	A 005740	A 005742	Joseph, Robert.	1985-05-00	"Speech to text transcription". Voice Processing: Technology and opportunity in the Office. May 1985. pp 75-76.
	A 005743	A 005756	Kim, Simon et al.	1984-09-00	"Interactive Voice Operated information System". Proc. Voice Input/Output Systems Applications Conf.
	A 005757	A 005769	Rodman, Robert et al	1984-09-00	"Speech Recognizer Performance of Voice Handicapped Users". Proc. Voice Input/Output Systems Applications Conf.
	A 005770	A 005777	Voorhees, JW	1984-09-00	"The Integration of Voice" Proc. Voice Input/Output Systems Applications Conf.
	A 005778	A 005785	Canyon, Jack	1984	"Some Issues in Designing Speech Interfaces". Proc. Voice Input/Output Systems Applications Conf.
	A 005786	A 005797	Schmandt, Christopher	1984	"Remote Access". Proc. Voice Input/Output Systems Applications Conf.
	A 005798	A 005802	Kurzweil, Raymond	1986-04-00	"The Kurzweil Voice Writer. A Large Vocabulary Voice Activated Word Processor". Speech Tech '86. pp 184-187
	A 005803	A 005815	Schmandt, Christopher	1986	"A Robust Parser and Dialog Generator for a Conversational Office System". 1986 Conf. American Voice Input/Output Society.
	A 005816	A 005819	Baker, Janet.	1989-09-00	"DragonDictate™-30K: Natural Language Speech Recognition with 30,000 words". Eurospeech 89. pp 161-163.
	A 005820	A 005826	Schmandt, Christopher et al	1989-11-00	"PhoneTool: Integrating Telephones and Workstations". Dallas Globecom '89 IEEE Global Telecommunications. Pp 970-974
	A 005827	A 005846	Arons, Barry. et al	1989-12-00	"Speech and Audio in Window Systems: When Will They Happen". Computer Graphics. Volume 23 Number 5. December 1989. pp 159-176
·	A 005847	A 005870	Hauptmann, AG.	1988-06-00	"Talking to Computers: An empirical investigation". Int. J. Man-Machine Studies (1988) 28, 583-604.
	A 005871	A 005878	Schmandt, Christopher	1990-08-00	"Observations on Using Speech Input for Window Navigation". Interact '90 Proc. IFIP TC 13 3 <sup>RD</sup> Int. Cof. Pp 787-793.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with

Substitute Form PTO-1449 (Modified)			Attorney's Docket No. Application No. 10591-003008 09/783,725		
by Applicant (Use several sheets if necessary)		Applicant Thomas R. Firman			
		Filing Date February 14, 2001	Group Art Unit		

Ex.	BATESINO : BEGIN	BATESINO : END.	AUTHOR(S)	DOC. DATE :	DOCUMENT: DESCRIPTION
	A 005879	A 005888	Schmandt, Christopher	1986-05-00	"Voice and Graphics in a Conversational Office Environment". Proc. Of the Second Annual Artificial Intelligence & Advanced Computer Technology Conference. April 29-May 1, 1986. pp 445-451
	A 005899	A 005908	Binding, Carl et al.	1989-08-00	"Workstation Audio and Window-based Graphics: Similarities and Differences". Engineering for Human-Computer Interaction. August 1989. Published 1990. Pp 233-249.
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	A 005911	A 005916	Mazur, Thais et al.	1986-11-00	"The PC Hear and Now". PC World. November 1986. pp. 226-231
	A 005917	A 005917	Mehta, Angeli	1985-05-16	"Better speech is promised by 1990". Computer Weekly. May 16, 1985. p 4.
	A 005918	A 005919	Waurzyniak, Patrick	1986-03-10	"Acceptance of voice system hinges on 'true' recognition". Computer & Software News.  March 10, 1986. pp 9 & 12
	A 005920	A 005921	N/A	1985-06-26	"Voice-Activates Terminal Nears Beta-Test". Management Information Systems Week. P 31
	A 005922	A 005922	N/A	1985-06-17	"Kurzweil Introduces Word Recognition Peripheral". ComputerWorld. June 17, 1985. p 16
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	A 005929	A 005934	Kurzweil, Raymond	1986-03-00	"The Technology of the Kurzweil Voice Writer". BYTE. March 1986. pp. 177-186
	A 005935	A 005938	Marcato, Ed.	1986	"Speech Recognition Technology". MILCOM 86. Conference Record vol. 1 of 3. pp 32.3.1- 32.3.3
	A 005939	A 005939	Lay, Richard.	1986-06-30	"Ask Computer Gives Manufacturing Software a Voice". Electronic Engineering Times. June 30, 1986.
	A 005940	A 005947	Melton, Louise.	1984-07-00	"Mister Impossible: Ray Kurzweil". Computers & Electronics. July 1984. pp 40-45 & 84-85.
	A 005949	A 005949	N/A	N/A	Library Request for Article
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	A 005973	A 005973	N/A	1991-03-00	Journal of the American Voice I/O Society

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Substitute Form PTO-1449 (Modified)			Attorney's Docket No. Application No. 10591-003008 09/783,725		
	losure Statement	Applicant Thomas R. Firman			
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date February 14, 2001	Group Art Unit 2654		

Ex. Initials	BATES NO	BATES NO.	AUTHOR(S)	ODOC. DATE	DOGUMENTODESCRIPTION
					Desktop Audio Issue. Volume 9. March, 1991. Index.
	A 005974	A 005987	Arons, Barry	1991-03-00	"The Design of Audio Servers and Toolkits for Supporting Speech in the User Interface".  Journal of the American Voice I/O Society Desktop Audio Issue. Volume 9. March, 1991. pp27-40.
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	A 006059	A 006059	N/A	1985	Pg. 295 to Speech Tech'85
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10591-003008	Application No. 09/783,725	
	losure Statement plicant	Applicant Thomas R. Firman		
(Use several should see (37 CFR \$1.98(b))	eets if necessary)	Filing Date February 14, 2001	Group Art Unit 2654	

FR §1.98(	(b))		· Fe	bruary 14, 20	001 2654
Ex:gran	BATES NO.	BATTES NO.	AUTHOR(S)	DOC. DATE	DOCUMENT: DESCRIPTION
·······································	A 006065	A 006065	N/A	1985	Pg. 321 to Speech Tech '85
	A 006066	A 006067	Vilarreal, James	1985	"The Implementation of Speech I/O to Spac Station". Speech Tech '85. pp. 322-323
	A 006068	A 006070	Wong, Peter	1985	"Rapid Prototyping of Voice Systems Using Flair". Speech Tech '85. pp. 324-326
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	A 006252	A 006254	N/A	N/A	Voice Navigator 2.2b4
	A 006255	A 006255	N/A	N/A	MY HD Subfolders
	A 006256	A 006284	N/A	1990-91	Voice Extension (VXTN) Information ©19 91, Articulate Systems
	A 006806	A 006956	N/A	N/A	Inside Macintosh, Volume VI.
	A 006957	A 006969	N/A	N/A	Inside Macintosh, Volume II
	A 006970	A 007169	N/A	N/A	Inside Macintosh, Volumes I, II and III
	A 007170	A 007318	N/A	N/A	Inside Macintosh
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	A 007523	A 007525	Lewis, Mary Margaret	1989-10-00	"Hey, Mac, Call Your Office". MacWorld. October 1989. pp 95 & 97
	A 007526	A 007526	Skenyon, John	1996-02-22	Letter to Randall Gard from Skenyon re Articulate
	A 007527	A 007543	N/A	1985	Speech Tech '85. Volume 1 Number 2. Articles. pp. 9, 33-38; 123-126
	A 007546	A 007551	N/A	1995-01-31	D&B Business Information Reports for

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Date Considered

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Substitute Form PTO-1449 Application No. U.S. Department of Commerce Attorney's Docket No. Patent and Trademark Office (Modified) 09/783,725 10591-003008 **Applicant Information Disclosure Statement** Thomas R. Firman by Applicant (Use several sheets if necessary) Filing Date Group Art Unit February 14, 2001 2654

(37 CFR §1.98(b))

Ex. Initials	BATES NO. BEGIN:	BATES NO. END	AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
					Articulate Systems.
	A 007552	A 007555	N/A	N/A	Claims to US Patent 5,377,303
	A 007556	A 007563	N/A	N/A	Apple Speech Technology Info
	A 007619	A 007691	N/A	1988	Auto Mac III. Macro Recorder. ©1988 Microsoft Corporation
	A 007692	A 007814	N/A	1988	Tempo II. ©1988 Infinity Microsystems.
	A 007815	A 007820	Stewart, Brad	1988	"Voice Master Key: An Affordable Recognition System for PCs". Speech Technology Sept/Oct 1988
	A 007821	A 007844	N/A	N/A	"The Toolbox Event Manager". Inside Macintosh
	A 007845	A 007847	N/A	1986-01-00	MacTutor-The Macintosh Programming Journal. Volume 2 No. 1. January 1986.
	A 007848	A 007851	N/A	1987-05-00	MacTutor-The Macintosh Programming Journal. Volume 3. No. 5. May 1987.
	A 007852	A 007857	Goodman, Danny	1987	The Complete Hypercard Handbook. ©1987 Bantam Books. Pp.430-432.
	A 007858	A 007863	Kaehler, Carol	1988	HyperCard™ Power: Techniques and Scripts. Addison-Wesley Publishing Company. Appendix 2.
. 1	A 007864	A 007868	Shell, Barry	1988	Running Hypercard With Hypertalk. ©1988.  Management Information Source, Inc. pp.394 & 408.
	A 007869	A 007875	Stoddard, Jeff	1987	HyperCard Scripting. ©1987. The Walking Shadow Press. Pp. 96-98, 112
	A 007876	A 007880	Brown, Phillip	1988	HyperDictionary. © 1988. Van Nostrand Reinhold Company. pp 56 & 74
	A 007881	A 007882	N/A	1988-10-00	"Short Takes-Voice Navigator". The Human Interface Review. ©1988 Apple Computer
	A 007883	A 007902	N/A	N/A	Human Interface Guidelines: The Apple Desktop Interface
	A 007903	A 007906	Simpson, Henry	1986	Programming the Macintosh™ User Interface. ©1986. McGraw-Hill, Inc. pp.147
	A 007907	A 007913	Rozenzweig, Edwin et al	1986	Programming the 68000. ©1986 Hayden Book Company. pp.181-184.
	A 007914	A 007921	Mathews, Keith	1985	Assembly Language Primer for the Macintosh. ©1985. The Waite Group. Pp 91- 93; 149-150
	A 007922	A 007945	Chernicoff, Stephen	1987	Macintosh™ Revealed. Volume Two: Programming with the Toolbox. © 1987. Pp. 11-31
	A 007946	A 007971	Little, Gary	1986	Mac Assembly Language. ©1986. pp. 195-222
	A 007972	A 007973	Salvaggio, Vito	1993-02-24	Email to James Stair et al from Salvaggio
	A 007974	A 007974	Morgan, Timothy	1992-08-13	Email to Michael Bayer from Morgan re Follow Up
	A 007975	A 007976	Schaaff, Timothy	1993-04-01	Email to Vito Salvaggo et al from Schaaff re

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	closure Statement	Applicant Thomas R. Firman		
(Use several sheets if necessary)		Filing Date February 14, 2001	Group Art Unit 2654	

Ex.	BATES NO.	BATES NO.	AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
, undais	DEGRIT	L. C. LEWIS CO.			Articulate Funding Follow-Up
	A 007977	A 007978	Zimmerman	1991-09-17	Email to Michael Bayer from Zimmerman re
		1100,5,0			Question to Mike Bayer
	A 007979	A 007979	Kelts, David	1993-06-28	Email to Michael Bayer et al from Kelts re
	1100/3/3	1200///	11010, 2 0 1 1 1		ASI needs Apple APIs
	A 007980	A 007980	Kelts, David	1993-07-14	Email to Michael Bayer from Kelts re ASI
-	1				needs Mail Manager API
	A 007981	A 007982	Durlach, Peter	1993-02-19	Email to Michael Bayer from Durlach re ASI
	1100,501	1100,702			Pod bid
	A 007983	A 007983	Zimmerman	1992-06-30	Email to Jim Pelkey from Zimmerman re ASI
					Product Plan & OCE
	A 007985	A 007985	Morgan, Timothy	1992-08-27	Email to Andy Soderberg from Morgan re ASI
	11100,700		, , , , , , , , , , , , , , , , , , , ,		Update
<u> </u>	A 007986	A 007987	Durlach, Peter	1993-02-01	Email to Peter Durlach, Michael Bayer et al.
			,		from Durlach re ASI/Cyclone Update
	A 007988	A 007988	Durlach, Peter	1992-12-03	Email to Developer Support Center from
:	, , , , , , , , , , , , , , , , , , , ,				Durlach re Cyclone DSP Manager Problem
	A 007989	A 007990	Durlach, Peter	1993-04-01	Email to Michael Bayer et al from Durlach re
					Cyclone Pod
	A 007991	A 007991	Durlach, Peter	1993-02-04	Email to Michael Bayer from Durlach re
					ASI/Cyclone Update
	A 007992	A 007993	Durlach, Peter	1993-02-04	Email to Michael Bater from Durlach re
					ASI/Cyclone Update
	A 007994	A 007994	N/A	N/A	Correspondence from "Michael" re enclosed
					API
	A 007995	A 007997	Kelts, David	1993-07-13	Email to Gursharan, Sidhu from Kelts re Mail
	•				Manager API
	A 007998	A 007999	Durlach, Peter	1993-04-14	Email to Michael Bayer from Durlach re Mail
					Manager API
	A 008000	A 008000	Durlach, Peter	1993-01-28	Email to Michael Bayer from Durlach re Mtg
]				,	with ASI
	A 008001	A 008001	Morgan, Timothy	1992-10-15	Email to Jim Pelkey et al from Morgan re
j ·					Received the MSAM Chapter
	A 008002	A 008004	Durlach, Peter	1993-03-04	Email to Kris Nagel from Durlach re New ASI
					Telecom products
	A 008005	A 008005	Kelts, David	1992-11-03	Email to Jim Pelkey from Kelts
	A 008006	A 008007	Durlach, Peter	1993-02-18	Email to Ron Dumont from Durlach re PS
1	_				related Open Issues
	A 008008	A 008009	Morgan, Timothy	1992-10-22	Email to Michael Bayer et al from Morgan re
					Purpose of remote Voice D
	A 008014	A 008014	Morgan, Timothy	1992-07-20	Email to Michael Bayer from Morgan re
					ASI/Apple Mtg
	A 008015	A 008016	Kelts, David	1993-07-14	Email to Michael Bayer from Kelts re ASI
1					assurances on mail API and response
	A 008017	A 008017	Durlach, Peter	1992-12-09	Email to Michael Bayer from Durlach re
niner Sig				ate Considered	

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#### **Information Disclosure Statement** by Applicant

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(37 CFR §1.98(b))

Applicant Thomas R. Firman **Group Art Unit** Filing Date 2654 February 14, 2001

Ex. Initials	*BATES NO. BEGIN,	BATES NO.** END	AUTHOR(S)	DOC. DATE	4 DOCUMENT DESCRIPTION
<u> </u>					AT&T and response
	A 008018	A 008018	Corchado, Veronica	1993-02-25	Email to Michael Bayer from Corchado re
					Contact in Small Business?
	A 008019	A 008019	Boone, Jeff	1992-12-07	Email to David Kelts from Cyclone DSP
			<b>,</b>	•	Manager Prob.
	A 008020	A 008021	Morgan, Timothy	1992-07-13	Email to Michael Bayer from Morgan re Help
					and response
	A 008022	A 008023	Durlach, Peter	1992-12-09	Email to Michael Bayer from Durlach re
					Phone Conversation Follow-up and response
	A 008024	A 008026	Dumont, Ron	1993-02-18	Email to Peter Durlach et al from Dumont re
					PS related Open Issues
	A 008027	A 008027	Salvaggio, Vito	1993-01-24	Email to Rick Parfitt from Salvaggio re
		-			Articulate and Cyclone
	A 008028	A 008028	Kelts, David	1992-07-13	Email to Michael Bayer from Kelts re
					Standard Fax File Format
	A 008029	A 008030	Kelts, David	1992-12-05	Email to Jim Pelkey from Kelts re OCE-
					Foreign MailReader
	A 008031	A 008032	Morgan, Timothy	1992-07-14	Email to Michael Bayer from Pelkey re Help
	A 008033	A 008034	Durlach, Peter	1993-04-16	Email to Michael Bayer from Durlach re Mail
					Manager API
	A 008035	A 008037	Durlach, Peter	1993-02-05	Email to Michael Bayer from Durlach re
					Personal Secretary
	A 008038	A 008039	Morgan, Timothy	1992-06-05	Email to Michael Bayer from Morgan re RJ
	A 008040	A 008040	Kelts, David	1992-08-26	Email to Michael Bayer from Kelts re Serial
		·			Port Power Consumption
	A 008041	A 008042	Durlach, Peter	1992-12-22	Email to Vito Salvaggio et al from Durlach re
					Spanish Govt Project & Cy
	A 008043	A 008043	Kelts, David	1993-02-08	Email to Michael Bayer from Kelts re
ļ.					Telephone Pod Schedule P1
	A 008044	A 008044	N/A	N/A	Email to Peter from Michael re response to
					voicemail
	A 008045	A 008045	Durlach, Peter	1993-08-13	Email to Michael Bayer from Durlach re
					Upcoming trip
	A 008061	A 008069	Durlach, Peter	1996-06-07	Memo to John Cook from Durlach re
					Articulate Systems Personal Secretary
					Features & Requirements
	A 008070	A 008078	Durlach, Peter	1996-06-07	Memo to Vito Salvaggio et al from Durlach re
					Articulate Systems Personal Secretary
L					Features & Requirements
-	A 008079	. A 008081	N/A	1996-06-07	Macintosh Telephony Product Plan
	A 008082	A 008083	Durlach, Peter	1993-01-21	Memo to G. Sidhu from Durlach re Personal
	<u> </u>				Secretary
	A 008084	A 008085	N/A	1992-05-15	Press Release "Articulate Systems, Inc.
					Announces Voice Navigator SW, A Software
			·		Based Voice Recognition Application"

**Examiner Signature** 

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	closure Statement oplicant	Applicant Thomas R. Firman		
	eets if necessary)	Filing Date February 14, 2001	Group Art Unit 2654	

Ex.	IBATESINO	BATIESINO: ;; END:	AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
	A 008086	A 008089	N/A	N/A	Continuation of patent application 07-370,779
	A 008104	A 008106	N/A	1995-08-07	Apple Computer Press Release "Powerful New Apple Speech Technology Software Included with New Power Macintosh Systems"
	A 008107	A 008108	N/A	1987	The Macintosh Buyers Guide. Summer 1987
<del>- '                                  </del>	A 008109	A 008117	Poole, Lon	1988-03-00	"Quick Tips". MacWorld March 1988. pp 201-202, 204, 206, 208, 210
	A 008118	A 008127	Valiulis, Dave	1988-02-00	"Open Door Policy". MacUser. February 1988. pp 256-265
	A 008128	A 008135	Bobker, Steve	1988-0-00	"Command Performance". MacUser. January 1988. pp 114-122
	A 008136	A 008139	N/A	1987-06-00	MacTutor. The Macintosh Programming Journal. Vol. 3. No. 6, June 1987
	A 008140	A 008149	N/A	1987-09-00	MacTutor. The Macintosh Programming Journal. Vol. 3 No. 9. September 1987
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	A 008154	A 008161	Knaster, Steve et al	1992	Macintosh Programming Secrets. Second Edition. ©1987. Addison-Wesley Publishing Company. Pp. 93, 432-435
	A 008162	A 008228	Chernicoff, Stephen	1985	Macintosh Revealed. Volume 2. ©1985 Hayden Book Company. Pp. vi-xii, 9-64
	A 008229	A 008237	Zue, Victor et al	N/A	"The Voyager Speech Understanding System: A Progress Report". Spoken Language Systems Group Laboratory for Computer Science. MIT. Pp. 51-59
	A 008238	A 008245	Leatherby, James, et al	1992-07-00	"Voice Input as a Replacement for Keyboard Accelerators in a Mouse-Based Graphical Editor: An Empirical Study". Journal of the American Voice I/O Society. Volume 12. pp.69-75
	A 008246	A 008249	Venis, Jalynn	1991-11-00	"The next leap in interactive computing". To the Point. November 1991. pp. 27-29
	A 08250	A 008251	N/A	N/A	5 <sup>TH</sup> Annual Eddy Awards: MacUser Presents the Top 40 Products of the Year, MacUser.
	A 008252	A 008252	Levy, Steven D.	1989-12-04	Letter to T. Ivan Mimica from Levy re emergence of voice and data processing applications
	A 008253	A 008253	Martin, James E.	1989-04-19	Letter to T. Ivan Mimica from Martin re presentation given by Mimica on voice recognition possibilities
	A 008254	A 008254	Landau, Kenneth	1990-05-23	Letter to Ivan Mimica from Landau re voice recognition applications
	A 008255	A 008255	Oziel, Sidney	1989-03-23	Letter to Dr. Stavros Cademenos from Oziel re

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attomey's Docket No. 10591-003008	Application No. 09/783,725	
***************************************	closure Statement	Applicant Thomas R. Firman		
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Ex. 2 Initials	BATES NO.	BATES NO.	AUTHOR(S)	DOC. DATE	DOGUMENTIDESCRIPTION
					Voice Navigator used in presentation to Authorized Apple Resellers
	A 008256	A 008256	Dumont, Ron	1989-10-09	Letter to Ivan Mimica, cc: Tim Morgan and David Fish from Dumont re meeting with A.S.I. on October 5 <sup>th</sup> ; "Mini-Navigator"; "Fax-Navigator"; speech interface with Apple Computers
	A 008257	A 008257	Dumont, Ron	1990-01-19	Letter to Ivan Mimica from Dumont re plans for the software-only version of the Voice navigator
	A 008258	A 008258	Tino, Mark S.	1989-03-13	Letter to Ivan Mimica from Tino re follow up to meeting regarding the Voice Navigator; opportunity to support efforts to introduce the product
	A 008259	A 008260	Colby, Charles	1990-04-27	Letter to Ivan Mimica from Colby re Voice Navigator demonstration; request for quotation and sample for testing
	A 008261	A 008261	Cohen, Raines	N/A	Colby unveils space-age Stealth Mac.
	A 008262	A 008262	Farland, Denis P.	1990-02-05	Letter to Ivan Mimica from Farland re integration of Articulate System's speech recognition product into Farland Research's development environment
	A 008263	A 008264	Tawil-Kummerman, Alan	1990-02-12	Letter to Ivan Mimica re marketing activity in Europe
	A 008265	A 008266	Tawil-Kummerman, Alan	1990-02-14	Letter to Alen Koppenaal re: confirmation of common plan of action leading to a Voice Navigator being sold as an option with VeraCard for the Mac.
	A 008267	A 008268	Shigyo, Yoko	1990-03-27	Letter to David A. Fish, Dr. Stavros Cademenos, cc: Ivan Mimica, Dr. Harry Lasker and Mitsuru Hosobe re Voice Navigator proposal.
	A 008269	A 008270	Etoh, Reiko; Hosobe, Mitsuru; Shigyp, Yoko	1990-04-26	Letter to Ivan Mimica cc: Harry Lasker re announcement of M. Hosobe joining Fuji Xerox Japanese Language Business Program and request for completion of a non-disclosure note
	A 008271	A 008272	Hosobe, Mitsuru;	1990-05-09	Letter to Ivan Mimica, Dr. Stavros Cademenos, and Dr. Harry Lasker from Hosobe re Boston MacWorld Exposition and anticipated expenses for development of demonstration software
	A 008273	A 008273	Igolia, Peg and Rose, David	1989-03-21	Letter to Ivan Miminca cc: Pete Durlach re Report on Voice navigator used with disabled clients

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Exacts Initials	BAJIES NO.	BATESINO E	AUTHOR(S)	DOC DATE	DOCUMENT DESCRIPTION
	A 008274	A 008274	Weeler, David R.	1998-10-25	Letter to Ivan Mimica from Weller re performance and reliability of voice navigator in conjunction with products for the handicapped.
	A 008275	A 008275	Olson, William A.	1988-12-22	Letter to Ivan Mimica from Oslaon regarding site testing the voice navigator system
	A 008276	A 008276	Moral, Bernard	1989-11-29	Letter to Ivan Mimica re telephone interface applications
	A 008277	A 008277	Zabalaoui, Keith	N/A	Letter to Ivan Mimica re praise of the Voice Navigator
	A 008278	A 008278	Schmandt, Chris	1989-04-18	Letter to Ivan Mimica re proposal for collaboration of The Media Laboratory at MIT and Articulate Systems.
	A 008279	A 008279	Laping, Arnie	1989-04-14	Letter to Ivan Mimica re request for employment
	A 008280	A 008283	Didlake, Scott	1990-04-16	Letter to Ivan Mimica re summary of personal experience with Voice Navigator
	A 008284	A 008285	Naisbitt, John	1990-03-29	Voice Recognition System Gain in Vocabulary, Potential. John Naisbitt's Trend Letter Vol. 9 No. 7, March 29,1990.
	A 008286	A 008287	Weller, David	1990-05-18	Letter to Ivan Mimica re demonstration on making or receiving a call on the telephone for paralyzed veterans at the Veteran Hospital, Beacon, NY
	A 008288	A 008288	N/A	N/A	Navigation with Articulate Systems. Upbeat Daily
	A 008289	A 008292	Yavelow, Christopher	N/A	Voice navigation for the Macintosh Musician.
	A 008293	A 008296	N/A	1989, March	Say It, Display It. MacUser March 1989. pp. 106-108.
	A 008297	A 008297	N/A	1990-05-15	Press Release for Voice Navigator. MacWeek, Vol. 4 No. 19, May 15, 1990
	A 008298	A 008298	Worthington, Paul	1990-05-21	Articulate Systems Offers High-Quality Voice Digitizer. Info World, Vol. 12 Issue 21, May 21, 1990, pp. 37
	A 008299	A 008299	Ito, Russell	N/A	When Users Talk, Mac Listens. MacUser
	A 008300	A 008300	Lewis, Peter H.	1989-02-05	A Mac That Hears Its Master's Voice. N.Y. Times, February 5, 1989
	A 008301	A 008301	n/a	1989, February	The Lingo of Learning. MacUser, February 1989
	A 008302	A 008302	Fram, Jonathan	1989-03-06	Industry-Pioneer Little Guys Become Big Guys in the East, PC Week\Business\Finance, March 6, 1989, pp. 71
	A 008303	A 008303	N/A	1989, May	Voice Recognition Integrated into Mac Operations, Computer Shopper, May 1989 pg.

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Ex. Initials	BATES NO. BEGIN:	BATES NO.	AUTHOR(S)	DOC. DATE	DOCUMENTIDESCRIPTION
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	A 008304	A 008304	N/A	1989, April	Uniting the World With Voice Navigator.  Marketing Computers, April 1989 pg. 7.
	A 008305	A 008305	N/A	N/A	Driving by Voice. MacWorld Today,
	A 000303	A 000303	IN/A	IN/A	MacWorld ExpoAsia 1989-06-30
	A 008306	A 008306	N/A	N/A	News Brief re Voice Navigator
	A 008300	A 008300	N/A		A Voice Navigator, BSA Mac Group News,
				1989, April	April 1989.
	A 008308	A 008308	Durlach, Peter	1988,	Voice Recognition Integrated Into Macintosh
				November	Operations, Design Graphics World,
					November 1988, pp. 21
	A 008309	A 008309	N/A	1989,	Understanding Its Master's Voice, Computers
				March	in Banking, March 1989, pp. 28
	A 008310	A 008310	N/A	1989, April	Mouse and Keyboard with Speech, Byte, April 1989.
	A 008311	A 008311	Durlach, Peter	N/A	Talking, Paug Newsletter, Volument VI No. 8, pp. 5; 7
	A 008312	A 008313	Roth, Larry	N/A	Alternate Input Seen & Heard At the Expo, MACroscope Sept #2.Film, Pg.1-2.
	A 008314	A 008314	N/A	1989, April	Speaking of Macs, CAD/CAM & Robotics. A
	11 000314	71 000514	17/71	1705, 710111	Kerrwil Publication, April 1989, pp. 54.
	A 008315	A 008315	N/A	1989-06-30	Don't Type It, Say It!, The New Paper, June 30, 1989, pp. 4.
· · · · · -	A 008316	A 008316	n/a	n/a	Les nouvelles technologies dialogue
	17 000510	1 000310	11/4	104	home/machine, Bruno Rives & Associes
	A 008317	A 008320	N/A	N/A	Voice Navigator Mac Japanese article
	A 008321	A 008323	N/A	N/A	VoiceNavigator, MacWorld Expo Asia;
L			·		Japanese/Chinese article
ļ	A 008324	A 008324	N/A	1990, Mars	Voice Navigator, SGS News, Mars 1990 pg 13
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	A 008327	A 008327	N/A	N/A	VoiceNavigator, MacWorld Expo Asia, Hyyper Lan, July/August 1989 pp 35; Japanese/Chinese article
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	A 008329	A 008330	Ito, Russel	1989	Spanish Article from SVM Macintosh, Juin / Juillet 1989 pp. 112-113
	A 008331	A 008331	Thevenet, Michael	1985	Voice Navigator Ne restez pas sans voix!, Mac Informatique No. 16, October 1985
	A 008332	A 008332	Curcio, Jean-Pierre	n/a	French Article?
	A 008333	A 008334	Courbier, Pierre	N/A	Voice Navigator, Les mots ont enfin la parole
<b></b>	A 008335	A 008336	Castre, Guillaume	n/a	Voice Navigator: le cri qui tue la souris
<del> </del>	A 008337	A 008337	N/A	1982	Les nouvelles technologies du dialogue
				<u> </u>	home/machine. Les Echos, Juin 1982
	A 008338	A 008338	Dubouis, Claudine	1989	La Voix de some maitre, Lundi, December 18.

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	BATES NO.		AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
				<del></del>	1989, Voitre Argent 9
	A 008339	A 008341	Neuhas, R.	N/A	Dies Stimme seines Herrn, Hardwaretest.
	A 008342	A 008343	Martin Christian Hirsch	N/A	Angeklickt, MacWelt, DM 8, -; sfr 8, OS 62,
	A 008344	A 008348	N/A	N/A	Die Stimme seines Herrn, MacUp 3/90.
	A 008349	A 008349	N/A	N/A	N/A
	A 008350	A 008350	N/A	N/A	Dialoguez Aves Votre Macintosh
	A 008351	A 008352	Mondadori Informatica ed.	1989-06-15	Quando il Mac riconosce la voce del padrone, PC Week Italia, No. 22, pp. 19-20
	A 008353	A 008354	N/A	N/A	Voce Navigator: Des Souris et des Voix
	A 008355	A 008358	Von Elisabeth Kroger	1190	Flogt Aufs Wort, Chip, April 1990 Nr. 4, DM 7,50 pp. 138-140
	A 008452	A 008453	Gable, Jim	1994-10-05	Email to Marc Auerbach et al. from Gable re Aptiva speech recognition
	A 008493	A 008493	Hoch, Steve	1994-07-06	Email to Peter Durlach from Hoch re Power Secretary & Apple
	A 008494	A 008495	Hulteen, Eric	1994-09-15	Email to Kai-Fu Lee from Hulteen re PowerSecretary
	A 008498	A 008498	Manser, Steve	1994-05-20	Email to Marc Auerbach from Manser re Kurzweil Applied
	A 008506	A 008507	Manser, Steve	1994-10-14	Email to Marc Auerbach et al from Manser re PlainTAlk/PwrSurge Actions
	A 008591	A 008593	Durlach, Peter, PRT	1994-10-06	Email to Kai-Fu Lee from Durlach re Why Sound Input is Import
	A 008623	A 008764	Bristow, Geoff ed.	1986	Electronic Speech Recognition. © 1986. McGraw-Hill Book Company. pp. 172-187; 216-255; 309-387
	A 008766	A 008770	Young, S.J.; Russell, N.H. and Thorton, J.H.S.	1988	"Speech Recognition in Vodis II", ©1988, ICASSP 88: 1988 International Conference of Acoustics, Speech, and Signal Processing. Vol. I: Speech Processing. pp: 441-444
	A 008771	A 008783	Young, Sheryl R. and Ward, Wayne H.	1988-11- 19/22	Towards Habitable System: Use of World Knowledge to Dynamically Constrain Speech Recognition. The Second Symposium on Advanced Man-Machine Interface Through Spoken Language. November 19-22, 1988,
	A 008784	A008790	Rudnicky,	February	Makaha, Hawaii. pp. 30-1 – 30-12  The design of voice-driven interfaces.
			Alexander I.	1989	Carnegie Mellon University. Proceedings: Speech and Natural Language Workshop. February 1989. Advanced Research Project Agency Information Science and Technology Office. pp: 119-124
	A 008791	A 008803	Billon, D. et al	September 1989	Speech Interface for an Experimental Office System. Eurospeech 89: Volume 1. The

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Ex.	BATESINO!	BATESINO Y	STAUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
					European Conference on Speech Communication and Technology: Paris – September 1989. pp: 557-580
<del></del>	A 008804	A 008804	N/A	1995-12-06	Handwritten Note
	A 008809	A 008816	Johannes, R.S. et al	April 1987	Collecting Data After Medical Procedures:  Designing Workstation Methods and Creating Incentives. © Speech Tech '87. pp 296-302.
	A 008817	A 008818	Rabin, Richard	1985-01-16	Abstract for Cadcon West Technical Session VIII: "Data Capture, Data Bases and DBMS"  Data Capture with Voice Input. Pub. January 1985. pp. VIII-39.
	A 008819	A 008825	Dirr, T. and Riley, D.	1986	A Voice-Augmented Engineering Workstation Prototype Utilizing Tree-Structured Menus. 1986. ASME Int. Computers in Eng. Conf. & Ehiib. Vol. 102 pp. 67-72.
	A 008864	A 008866	N/A	1984	"Microprocessors at Work" © 1984 Elaevier Science Publishers B.V. Vol. 5. Iss. 11. pp. 4-5
	A 008867	A 008981	Monroe, Tom	1996-03-12	Apple Manuel: Chapter 1: Speech Recognition Manager. © Apple Computer, Inc. 3/12/96. DRAFT. Pp. 1-1 – 1-106; GL-1 – GL-4; IN-1 – IN-5
	A 009097	A 009097	Ryan, Tom	1993-11-22	Letter to Ivan Mimica, cc: Duncan Kennedy from Ryan re Marketing of dictation application
	A 009098	A 009098	Mimica, Ivan	1994-12-30	Letter to Steve Schlossareck from Mimica re Master Software Development Agreement #C24-93-00084 (the "Contract")
	A 009099	A 009099	N/A	N/A	Signature Page: singed by Robert A. Lauridsen, Apple Computer, Inc.
	A 009111	A 009112	Spitz, Rick	1993-03-03	Letter to Ivan Mimica from Spitz re agreement with Articulate for the development of speech and telephony products for the Macintosh.
	A 009113	A 009113	Durlach, Peter	1993-06-01	Fax Cover Sheet to Steve Schlossareck from Durlach re brief version of agreement between ASO and VPC
	A 009114	A 009115	N/A	1993-05-11	from Voice Processing Corporation re terms and conditions of VPC's proposal
,	A 009126	A 009126	Durlach, Peter	1993-04-22	Email to Tim Schaaff from Durlach re ASI/Apple Project
	A 009137	A 009138	Rada, John F	1995-04-26	Fax letter to Michael Spinder, Apple Computer Inc., cc: Ivan Mimica re request to meet with Ivan Mimica regarding voice recognition applications with attached article.

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(37 CER 81 98(b))		February 14, 2001 2654		

	BATESINO.	BATESING A	AUTHOR(S)	inDoc-DATE;	DOCUMENT DESCRIPTION
minais	A 009139	A 009139	Crabb, Don	N/A	The latest in telephone: You'll be calling
	A 003133	A 003133	Clabb, Doll	IVA	Wildfire
	A 009140	A 009140	Rada, John F.	1995-04-27	Fax letter to Michael Spinder, Apple
			,		Computer Inc., cc: Ivan Mimica: Correction to
-					letter dated 1995-04-26
	A 009141	A 009143	Hon-Anderson, Eric	1995-09-05	Email to Dick Toepfer re article "What We
			•		Patch and Why"
	A 009147	A 009147	Elphick, Michael	1995-03-09	Fax to Michael Spindler from Elphick re
				<u></u>	Apple Voice Recognition System
	A 009152	A 009152	Schaaff, Tim	1993-07-14	Email to Peter Durlach from Schaaff re
					Tempest unit on the way!
	A 009185	A 009185	Durlach, Peter	1993-04-05	Email to Tim Schaaff from Durlach re Office
-					Memo: What's in it for Apply EU
	A 009192	A 009193	N/A	1992	"The Macintosh Telephone Architecture &
					WorldPort: Putting the World at Your
					Fingertips" © 1992 Apple Computer, Inc.
	A 009194	A 009202	Durlach, Peter	N/A	Memorandum to Vito Salvahhio, Andy
1		<u> </u> -			Soderberg cc: Michael Bayer re Articulate
					Systems Personal Secretary Features &
			·		Requirements
	A 009203	A 009242	McElhoe, Glenn	1991-10-07	"Specification for low-level recorder/playback
					extension". © Articulate Systems, Inc. 1991.
					pp 4-40
[	A 009243	A 009279	McElhoe, Glenn;	1992-10-26	"Functional Specification for Voice Control
1			Anderson, Eric and		3.0". © 1991 Articulate Systems
			Schwarz, Daniel		
	A 009280	A 009294	N/A	1992-10-26	Voice Control Library XFCNs: Overview of
					voice control and references to the C library
					calls
	A 009295	A 009296	N/A	1992-10-26	Voice Control Library Developer Reference:
		·			Overview of Micro Commands
	A 009297	A 009300	N/A	N/A	Overview of Synchronize Commands
<u> </u>	A 009301	A 009301	N/A	N/A	VCUnloadSynchResource
7.	A 009302	A 009312	N/A	1992-10-26	Voice Control Library Developer Reference:
		·			Overview of Synchronize Commands
	A 009313	A 009322	N/A	N/A	Voice Control Library Developer Reference:
					Overview of Language Commands
	A 009327	A 009327	Morgan, Dana	1988-12-02	Letter to Mike Dilts from Morgan re Pro-
					Production Offer; VoiceNavigator™200/1000
					"Overview" and Articulate Systems, Inc.
				<u> </u>	Corporate Background
	A 009328	A 009328	Articulate Systems,	1988-12-02	Letter to Mike Dilts re Offer of pre-production
			Inc.	<del>  </del>	Voice Navigator™ systems
	A 009329	A 009331	Articulate Systems,	n/a	Voice Navigator™ 200/1000 Overview;
		<u> </u>	Inc.		Specifications

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Ex. Initials	BATES NO. BEGIN	BATES NO. END	AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
	A 009333	A 009333	Articulate Systems, Inc.	n/a	Corporation Background
	A 009337	A 009347	Brennan, Susan E., and Hulteen, Eric A.	April-June 1993	Interaction and feedback in a spoken language system: a theoretical framework. Vol. 8 No. 2-3 Knowledge-Based Systems. April-June 1995. pp 143-151
	A 009858	A 009927	Spoken Language Systems Group	June 1991	Summary of Research in Spoken Language, June 1991 Massachusetts Institute of Technology
	A 010148	A 010148	Karl, Lewis; Pettey, Michael; Shniderman, Ben	July 1992	"Speech-activated versus mouse-activated commands for work processing applications: an empirical evaluation". July 1992. Human-Computer Interaction Laboratory & Department of Computer Sciences. University of Maryland.
	A 010149	A 010181	N/A	N/A	Brochure unknown re automatic speech recognition
	A 010192	A 010212	N/A	N/A	Speech Technologies Studio Questionnaire: Totals; Completed Questionnaire
	A 010464	A 010471	Lee, Kai-Fu	N/A	The Conversational Computer: An Apple Perspective. Advanced Technology Group. Apple Computer, Inc.
·	A 010472	A 010507	Cohen, Philip R. and Oviatt, Sharon L.	1993-07-29	The Role of Voice in Human-Machine Communication. Computer Dialogue Laboratory. Artificial Intelligence Center. SRI International. DRAFT
	A 010508	A 010564	Klatt, Dennis H.	1986-05-05	Review of test-to-speech conversion for English. J. Acoust. Soc. Am. 82(3), September 1987 © 1987 Acoustical Society of America. pp. 737 – 793
	A 010565	A 010592	Lee, Kai-Fu	N/A	Speech Recognition. Advanced Technology Group. Apple Computer, Inc.
	A 010593	A 010600	Peacocke, Richard D. and Graf, Daryl H.	August 1990	An Introduction to Speech and Speaker Recognition. @1990 IEE. Computer. pp. 26 – 33
	A 010601	A 010607	O'Malley, Michael H.	August 1990	Text-To-Speech Conversion Technology. @1990 IEE. Computer. pp. 17-23
	A 010608	A 010626	Schmandt, Christopher	1992-02-19	From Desktop Audio to Mobile Access: Opportunities for Voice in Computing. Media Laboratory. Massachusetts Institute of Technology
	A 010627	A 010653	Liberman, Mark Y. and Church, Kenneth W.	N/A	Text Analysis and Word Pronunciation in Text-to-speech Synthesis. AT&T Bell Laboratories
	A 010654	A 010673	Collier, R., Van	1992	Speech Synthesis Today and Tomorrow.

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Applicant
Thomas R. Firman

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Group Art Unit 2654

(37 CFR §1.98(b))

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Zachary, G. Pascal

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Apple Unveils Breakthrough In Technology:

Substitute Form PTO-1449  (Modified)  U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. Application No. 10591-003008 09/783,725			
	Information Disclosure Statement by Applicant		Applicant Thomas R. Firman		
(Use several sheets if necessary)		Filing Date Group Art Unit February 14, 2001 2654			

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	A 010791	A 010791	Negroponte, Nicholas	1994-02-01	Email to IR@wired.com from Negroponte re Taking With Computers attached article Talking With Computers, Wired, March 1994 at 144.
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	eets if necessary)	Filing Date February 14, 2001	Group Art Unit 2654	

Ex.	BATES NO 4		AUTHOR(S)	DOC. DATE	DOGUMENT DESCRIPTION
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	A 011103	A 011103	Elmer-Dewitt, Philip	1992-10-08	The Machines Are Listening: Computers still can't take dictation, but they may already understand speech well enough to take your job. Time. August 10, 1992. pp 45
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	A 011115	A 011116	O'Connor, Rory J.	1992-03-22	Its Master's Voice, San Jose Mercury News, March 22, 1992
	A 011117	A 011117	Deagon, Brian	N/A	New Tools Emerge To Assist Disabled In Workplace: As Technologies Find Uses In Other Areas, Costs are Expected to Decline.
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	A 011123	A 011124	Bulkeley, William	1994-06-06	Speech Recognition Gets Cheaper and Smarter. The Wall Street Journal, June 6, 1991 at B1.
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	A 011530	A 011530	Gomes, Lee	1992-04-02	"A fool and his dignity are soon parted". San

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	A 011559	A 011559	O'Connor, Rory	1992-02-25	"Apple makes gain in voice recognition". San Jose News. February 25, 1992. pp. 4E & 5E
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	A 011568	A 011574	Schwartz, John	1992-04-06	"The Next Revolution". Newsweek. April 6, 1992. pp.43-48.
	A 011575	A 011575	Myers, Laura	1992-02-25	"Computer responds to voice commands, and even talks back". Orange County Register. February 25, 1992
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	A 011580	A 011580	Weber, Jonathan	1992-03-05	"Computerspeak Isn't Lingo Anymore". Los Angeles Times.
	A 011581	A 011581	Ricci, James	1992-03-14	"Perfect computer, for crying out loud". San Jose Mercury News.
	A 011582	A 011582	Norr, Henry	1992-05-11	"Speech tools to get the Mac talking again". MacWeek. Volume 6 Number 19.
	A 011585	A 011585	Elmer-Dewitt	1992-08-10	"The Machines Are Listening". Time. August 10, 1992. p. 45
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	A 011600	A 011600	N/A	1992-05-00	"Smarter Macs". Popular Science. May 1992 pp36-37
	A 011601	A 011616	N/A	N/A	PlainTalk Manual. © 1995 Apple Computer
	A 011652	A 011655	Silverman, Kim	1995-11-07	Email to Cheryl Hallberg from Silverman re Loads to Do!
	A 011656	A 011656	N/A	1995-08-08	Reality Bytes Software Release
	A 011657	A 011657	N/A	N/A	Imergy Release
	A 011658	A 011658	N/A	N/A	Papyrus Release, "Papyrus™ to support speech recognition for the Macintosh computer".

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Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office				
	closure Statement	Applicant Thomas R. Firman		
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Ex.** Initials	BATES NO.	BATES NO	AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
IIIIIIII S	A 011659	A 011665	N/A	N/A	Mail Box Contributor Form Instructions
	A 012076	A 012076	Lee, Kai-Fu	1992-04-21	Email to Kevin McCoy et al from Lee re
	,		•		Speech Meeting
	A 012077	A 012077	McCoy, Kevin	1992-04-22	Email to Eric Hulteen from McCoy re Speech
•					Meeting
	A 012081	A 012081	Palmer, Jim	1992-05-11	Email to Eric Hulteen from Palmer re
					Casper
	A 012082	A 012082	Hulteen, Eric	1992-05-14	Email to Jim Palmer from Hulteen re Casper
					Reading
	A 012087	A 012087	McCoy, Kevin	1992-04-21	Email to Eric Hulteen from McCoy re Speech
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•	A 012088	A 012088	Sazegari, Sara	1992-04-21	Email to Eric Hulteen et al from Sazegari re
				1000 04 16	Speech Apps. Mtf Confirmed  Email to Eric Hulteen from Gomoll re
	A 012092	A 012092	Gomoll, Thomas	1992-04-16	
<u> </u>				1002.04.16	HIG/ATG Speech Apps. Reviews  Email to Brian Loucks from Hulteen re
•	A 012093	A 012093	Hulteen, Eric	1992-04-16	, =
		1 010005	0 . 0-	1002 02 10	HIG/ATG Speech Apps. Review  Email to Phac LeTuan from Sazegari re
	A 012097	A 012097	Sazegari, Sara	1992-03-19	
		. 010000	0 0	1002.02.10	Speech Meeting 3/30 Email to Phac LeTuan from Sazegari re
	A 012098	A 012098	Sazegari, Sara	1992-03-19	Speech Meeting 3/30
	1 010000	4.012000	C Com	1992-03-24	Email to Phac LeTuan from Sazegari re Pre-
-	A 012099	A 012099	Sazegari, Sara	1992-03-24	HI/Speech Meeting
	4.012100	A 012100	Hulteen, Eric	1992-03-23	Email to Sazegari from Hulteen re Speech
	A 012100	A 012100	Hulleen, Enc	1992-03-23	Apps. Demo Meeting Rqst
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	A 012104	A 012104	Sazegari, Sata	1552-05-21	Speech. Interface Meeting 3/30
· · · ·	A 012105	A 012105	Gomoll, Thomas	1992-03-30	Email to Eric Hulteen from Gomoll re Speech
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<del></del>	A 012123	A 012123	Hulteen, Eric	1992-09-04	Email to Ron Dumont from Julteen re HI
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	A 012124	A 012124	N/A	1992-08-11	Email to Jim Palmer et al from Gateway to
	1101212				Internet/BITNET/UUCP
	A 012130	A 012131	Parfitt, Rick	1992-11-02	Email to Eric Hulteen from Parfitt re Speech
			,		Recognition Cy M
	A 012134	A 012134	Parfitt, Rick	1992-08-31	Email to Ron Dumont from Parfitt re TTS UI
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	A 012135	A 012135	Lockett, Deborah	1992-06-02	Email to Eric Hulteen from Lockett re
İ					Cyclone HI
	A 012219	A 012219	Lee, Kai-Fu	1992-06-02	Email to Eric Hulteen from Lee re Developers
					of Speech Apps
	A 012505	A 012506	N/A	1991-10-07	HIG Video Collection List
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					Applications". Proceedings-Avoid '91. Voice
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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 10591-003008

Application No. 09/783,725

# **Information Disclosure Statement by Applicant** (Use several sheets if necessary)

**Applicant** Thomas R. Firman

February 14, 2001

Filing Date

**Group Art Unit** 2654

(37 CFR §1.98(b))

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	A 012020	A 012034	Lyon, Kichard.	1991	©1991 IEEE. pp. 785-789
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	A 012033	A 012030	Et al	IVA	Model"
	A 012839	A 012842	Roucos, Salim et al	1985	"High Quality Time-Scales Modifications for
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EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	t in conformance and not considered. Include copy of this form with

Substitute Form PTO-1449  Modified)  U.S. Department of Comm- Patent and Trademark O				
Information Disclosure Statement by Applicant (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Thomas R. Firman		
		Filing Date February 14, 2001	Group Art Unit 2654	

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner				
Initial	Desig. ID	Document		
	AD	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc.'s Objections and Answers to Articulate Systems, Inc.'s First Set of Interrogatories, July 8, 1996.		
	AE	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc.'s First Supplemental Objections and Answers to Articulate Systems, Inc.'s First Set of Interrogatories", Nov. 27, 1996.		
	AF	Articulate Systems Inc. v. Apple Computer, Inc., "Complaint and Jury Demand", Feb. 2, 1996.		
	AG	Articulate Systems Inc. v. Apple Computer, Inc., "Answer and Counterclaim of Defendant to Complaint for Patent Infringement", April 18, 1996.		
	AH	Articulate Systems Inc. v. Apple Computer, Inc., "Plaintiff Articulate Systems' Reply to Defendant Apple's Counterclaim", April 25, 1996.		
· · ·	AI	Articulate Systems Inc. v. Apple Computer, Inc., "Plaintiff Articulate Systems, Inc.'s Responses and Objections to Defendant Apple Computer, Inc.'s First Set of Interrogatories", July 22, 1996.		
	AJ	Articulate Systems, Inc. v Apple Computer, Inc., "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.		
	AK	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit B "Plaintiff Articulate Systems, Inc.'s Responses and Objections to Defendant Apple Computer, Inc.'s First Set of Interrogatories" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.		
:	AL	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit C "TM file history for VOICE NAVIGATOR (stylized)" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.		
	AM	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit D "Rimpexchile letter to Ivan Mimica, May 4, 1988" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.		
	AN	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit E "Computer Learning Systems, Inc., Puffer letter to Ivan Mimica, March 29, 1988" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.		
	АО	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit F "Deposition transcript of Barbara Gallinaro", to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.		
:	AP	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit G "Articulate Systems Inc., Business Plan, as of January 10, 1989" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.		
	AQ	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit H "ClinMan Inc, German letter to Ivan Mimica, dated April 22, 1988" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.		
	AR	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit I "Lockheed Engineering, Shepherd letter to Tom Firman, dated April 25, 1988" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.		

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attomey's Docket No. 10591-003008	Application No. 09/783,725
Information Disclosure Statement by Applicant (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Thomas R. Firman	
		Filing Date February 14, 2001	Group Art Unit 2654

Other Documents (include Author, Title, Date, and Place of Publication)					
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	AS	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit J "Maya Brochure" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.			
	AT	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit K "Supplemental Information Disclosure Statement, filed December 9, 1993" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.			
	AU	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit L "NASA, 'A Speech Recognition Interface for the Advanced Extravehicular Mobility Unit" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.			
	AV	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit 2 "TMEP excerpted pages" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.			
	AW	Skenyon, letter to Patricia Coppola dated April 4, 1997, re blowups re offers for sale.			
	AX	Hebert, letter to Patricia Coppola dated April 17, 1998, enclosing copies of charts used by Articulate during hearing of April 16, 1998.			
	AY	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 12, 1997.			
·	AZ	Articulate Systems Inc. v. Apple Computer, Inc., Exhibit B, "Deposition of Thomas Firman" to "Defendant Apple Computer, Inc's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 12, 1997.			
	AAA	Articulate Systems Inc. v. Apple Computer, Inc., Exhibit C, "Deposition of David Feigenbaum" to "Defendant Apple Computer, Inc's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 12, 1997.			
	ABB	Articulate Systems Inc. v. Apple Computer, Inc., Exhibit D, "Firman, U.S. Patent Application, Voice Controlled Computer Interface" to "Defendant Apple Computer, Inc's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 12, 1997.			
	ACC	Articulate Systems Inc. v. Apple Computer, Inc., Exhibit E, "Deposition of Timothy John Crawford Morgan" to "Defendant Apple Computer, Inc's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 12, 1997.			
·	ADD	Articulate Systems Inc. v. Apple Computer, Inc., Exhibit F, "Examiner's Office Action dated July 14, 1992" to "Defendant Apple Computer, Inc's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 12, 1997.			
	AEE	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc.'s Certificate of Compliance with Local Rule 7.1(A)(2)" Sept. 12, 1997			
	AFF	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc.'s Motion for Impoundment", Sept. 12, 1997.			
	AGG	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc.'s Statement of Undisputed Material Facts", Oct. 23, 1997.			
	АНН	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer's Reply Memorandum In Support of Its Motion for Summary Judgment That Articulate's Patent is Invalid For Indefiniteness", Nov. 12, 1997.			

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Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office				
Information Disclosure Statement by Applicant (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Thomas R. Firman		
		Filing Date February 14, 2001	Group Art Unit 2654	

	Other D	Occuments (include Author, Title, Date, and Place of Publication)
Examiner		
Initial	Desig. ID	Document
	AII	Olsen, letter to Lussier dated Nov. 3, 1997.
·	AGG	Lussier, letter to Olson dated Nov. 4, 1997.
	AKK	Olsen, letter to Lussier dated Nov. 5, 1997.
	ALL	Articulate Systems Inc. v. Apple Computer, Inc., "Articulate's Opposition to Apple's Motion for Leave to File a Reply Brief in Support Of Its Summary Judgment Motion That Articulate's Patent Is Invalid For Indefiniteness", Nov. 24, 1997.
	AMM	Articulate Systems Inc. v. Apple Computer, Inc., "Apple Computer Inc.'s Supplemental Memorandum In Support Of Its Motions For Summary Judgment", April 30, 1998.
	ANN	Articulate Systems Inc. v. Apple Computer, Inc., "Plaintiff's Articulate's Supplemental Memorandum re Apple's Summary Judgment Motions Heard on April 16, 1998", April 30, 1998.
	AOO	Articulate Systems Inc. v. Apple Computer, Inc., "Report and Recommendation Regarding Defendant's Motion For Summary Judgment That Plaintiff's Patent Is Invalid Under 35 U.S.C. §102(b) (Docket No. 35), April 16, 1999.
	АРР	Articulate Systems Inc. v. Apple Computer, Inc., "Order On Report and Recommendation Of The Magistrate Judge Regarding Defendant's Motion For Summary Judgment On The Issue Of Patent Invalidity", May 11, 1999.
	AQQ	Articulate Systems Inc. v. Apple Computer, Inc., "Report and Recommendation Regarding Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Articulate's Patent is Invalid For Indefiniteness (Docket No. 135)", July 21, 1999.
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	AUU	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. §102(b)", Feb. 5, 1997.
	AVV	Articulate Systems Inc. v. Apple Computer, Inc., "Articulate's Assented-To Motion To Impound Documents Containing Confidential Material", filed March 12, 1997, allowed April 3, 1997.
	AWW	"Dependent Claims That Refer to High Level or Higher Level Events", from Patent No. 5,377,303.
	AXX	Articulate Systems Inc. v. Apple Computer, Inc., Deposition Transcript of Timothy John Crawford Morgan, April 2, 1997.
	AYY	U.S. Application Serial No. 07/973,435, filed Nov. 9, 1992, "Response to Action Dated 3/11/93", April 13, 1993.
	AZZ	Articulate Systems Inc. v. Apple Computer, Inc., "Declaration of Christopher N. Olsen In Support of Apple's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 9, 1997.

Examiner Signature	Date Considered			
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with				

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attomey's Docket No. 10591-003008	Application No. 09/783,725	
(Use several sheets if necessary)		Applicant Thomas R. Firman		
		Filing Date February 14, 2001	Group Art Unit 2654	

Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner Initial	Desig. ID	Document				
	AAAA	Articulate Systems Inc. v. Apple Computer, Inc., Transcript of Deposition Upon Oral Examination of Thomas Firman, April 4, 1997.				
	ABBB	Articulate Systems Inc. v. Apple Computer, Inc., Deposition transcript of David L. Feigenbaum, May 2, 1997.				
	ACCC	Application copy, "Voice Controlled Computer Interface", filed June 23, 1989.				
	ADDD	Examiner's action dated July 14, 1992.				
	AEEE	Applicant's response to examiner's action dated January 9, 1992, filed May 11, 1992.				

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U.S. Department of Commerce Patent and Trademark Office  $\begin{array}{l} \text{Attorney's Docket No.} \\ 10591\text{-}003008 \end{array}$ 

Application No. 09/783,725

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant
Thomas R. Firman

Filing Date

Group Art Unit

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Substitute Form PTO-1449

February 14, 2001

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Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate			
	AA	4,829,576	05/09/1989	Porter	381	43				
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Foreign Patent Documents or Published Foreign Patent Applications										
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	AL									
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	AP									

(	Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner Initial	Desig. ID	Document					
	AQ	Moser, James, "One Thousand Word Recognizer Expands Applications For Voice Input", Speech Technology, Mar./Apr., 1986, pp. 54-59.					
	AR	Goldhor, Richard, "Speech Input System Heeds Data and Commands With 1000-Word Recognition", Electronic Design, June 27, 1985, pp. 147-152.					
	AS	Kurzweil, Raymond, "A Large Vocabulary Voice Activated Word Processor", Speech Tech '87, pp. 5-8.					
	AT	Kurzweil, Raymond, "A Large Vocabulary Voice Activated Word Processor", Speech Tech '85, pp. 33-38.					
	AU	http://www.dragonsys.com/main/docs/about/milestone. Html, 9/25/01, p. 7					

Examiner Signature

Date Considered

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10591-003008	Application No. 09/783,725	
1	closure Statement pplicant	Applicant Thomas R. Firman		
(Use several sheets if necessary)		Filing Date	Group Art Unit	
(37 CFR §1.98(b))		February 14, 2001	2641	

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muai		VoiceScribe DragonWriter, Installation Manual, Release 3.00, 1986, Chapter 1, "About	
·	AV	VoiceScribe".	
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Examiner Signature

Date Considered

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ATTY. DOCKET NO. 02990/003004

**APPLICANT** 

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Thomas R. Firman FILING DATE February 23, 1994

		U.S. PATENT DOCUMENTS	& TRADEMAR		
T	1SSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
╗	7/24/84	Johnstone et al.	395	2	
5	11/03/87	Reimer et al.	395	2	
1	12/23/75	Bryman et al.	179	1	
5	8/18/87	Thompson et al.	364	300	
4	5/03/90	Nomura et al.	300	30	
8	5/01/90	Tchorzewski	381	42	
5	10/09/90	Klwara et al.	381	43	
8	7/30/91	Oken et al.	381	43	
2	10/01/91	Smith et al.	381	42	

# FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

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			PUBLICATION			CLASS	SUBCLASS	TRANSLATION	
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OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

AT	Calingaert, "Assemblers, Compilers, and Program Translation", Computer Science Press, 1979, pp. 142-150.
AU	"HearHere Toolbox", sales brochure from SID Products, Inc.
AV	"SID" sound digitizer, assembly manual, available from CEDAR Technologies, P.O.Box 224, Dublin, NH 03444
AU	Shipman, "MacRecorder - A Speech Digitizer for the MacIntosh", MBUG Newsletter, Fall 1985.
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Substitute Disclosure form (PTO-1449)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	R.	4	1	4	T	4	5	8	2	3/13/79	Hyatt	381	43	
	S	4	6	3	1	7	0	0	1	12/02/86	Stapleford et al.	381	48	
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	AE	4	9	8	4	1	7	7	1/08/91	Rondel et al.		364	513.5	
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	AW	Evans, "Talking to the Bug", <u>MicroCad News</u> , March, 1989, pp. 58-61.
<del></del>	AX	Manual, Inside Macintosh Volume I, Apple Computer, Inc., "The Toolbox Event Manager", pp. 1-243-1-260, 1985.
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Substitute Disclosure Form (PTO-1449)

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APPLICANT Thomas R. Firman

GROUP 2308

STATEMENT BY APPLICANT (Use several sheets if necessary)

. INFORMATION DISCLOSURE

(37 CFR 1.98(b))

FILING DATE February 23, 1994

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) APPLICANT Thomas R. Firman

FILING DATE February 23, 1994

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)

**APPLICANT** Thomas R. Firman

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	1	T A	Advertisement, New England Mutual Life Insurance Company, Boston, MA, 1988.									
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of

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